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TRANSMITTAL LETTER TO THE UNITED STATES		ATTORNEY'S DOCKET NUMBER 03500.017831		
DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		10/535210		
CONCERNING A SUBMISSION UNDER 33 U.S.C. 371		U.S. APMICATOWNO I Fig. who was 77 CE RU		
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED		
PCT/JP2003/016704	December 25, 2003	January 10, 2003		
TITLE OF INVENTION MICELLE-CONTAINING COMPOSITION, THIN FILM THEREOF, AND METHOD FOR PRODUCING THE THIN FILM				
APPLICANT(S) FOR DO/EO/US				
TOMOKO MARUYAMA				
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:				
1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.				
2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.				
3. X This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.				
4. X The US has been elected (Article 31).				
5. X A copy of the International Application as filed (35 U.S.C. 371(c)(2))				
a. X is attached hereto (required only if not communicated by the International Bureau).				
b. X has been communicated by the International Bureau.				
c. is not required, as the application was filed in the United States Receiving Office (RO/US).				
6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).				
a. is attached hereto.				
b. has been previously submitted under 35 U.S.C. 154(d)(4).				
7. X Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))				
a. are attached hereto (required only if not communicated by the International Bureau).				
b. have been communicated by the International Bureau.				
• • c. have not been made; however, the time limit for making such amendments has NOT expired.				
d. X have not been made and will not be made.				
8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).				
9. X An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).				
10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C.				
371(c)(5)).				
Items 11 to 20 below concern other document(s) or information included:				
11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98.				
12. X An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.				
13. A preliminary amendment.				
14. X An Application Data Sheet under 37 CFR 1.76.				
15. A substitute specification.				
16. A power of attorney and/or change of address letter.				
17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.				
18. A second copy of the published International Application under 35 U.S.C. 154(d)(4).				
19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).				
20. X Other items or information: PCT Rec	quest; PCT/ISA/220			

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

JC20 Rect PCT/PTO 17 MAY 2005

PTO/SB/17 (12-04)

Approved for use through 07/31/2006, OMB 0651-0032 U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE Winder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Complete if Known Effective on 12/08/2004. ant to the Consolidated Appropriations Act. 2005 (H.R. 4818) Application Number **TRANSMITTAL** Filing Date For FY 2005 First Named Inventor **TOMOKO MARUYAMA Examiner Name** NYA Applicant claims small entity status. See 37 C.F.R. 1.27 Art Unit NYA 03500.017831 TOTAL AMOUNT OF PAYMENT Attorney Docket No. (\$) METHOD OF PAYMENT (check all that apply) Money Order Credit Card None Other (please identify): Check Deposit Account Name: Fitzpatrick, Cella, Harper & Scinto 06-1205 Deposit Account Deposit Account Number: For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below, except for the filing fee Charge fee(s) indicated below Charge any additional fee(s) or underpayments of Х Credit any overpayments fee(s) under 37 C.F.R. 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES SEARCH FEES EXAMINATION FEES Small Entity Small Entity Small Entity Application Type** Fee (\$) Fee (\$) Fee(\$) Fee(\$) Fees Paid (\$) Fee (\$) Fee(\$) Utility 150 250 200 100 \$ 900.00 Design 200 100 100 50 130 65 100 300 Plant 200 150 160 80 150 Reissue 300 500 250 600 300 2. EXCESS CLAIM FEES **Small Entity** Fee Description Fee(\$) Fee(\$) Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent 50 25 Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent 200 100 Multiple dependent claims 360 180 **Total Claims Extra Claims** Fee Paid (\$) **Multiple Dependent Claims** Fee (\$) -20 or HP = 00.00 Fee(\$) Fee Paid (\$) HP = highest number of total claims paid for, if greater than 20 Indep. Claims **Extra Claims** Fee(\$) Fee Paid (\$) -3 or HP = 00.00 X HP = highest number of independent claims paid for, if greater than 3 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). **Total Sheets** Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$) 32 - 100 = /50 = 0___ (round up to a whole number) x 0.00 0.00 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Other: Check for \$40.00 Assignment Recordal Fee

SUBMITTED BY			
Signature	Calusador	Registration No. (Attorney/Agent) 24,947	Telephone 212-218-2100
Name (Print/Type)	PETER SAXON		Date: May 16, 2005

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duction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER The following fees are submitted: CALCULATIONS PTO USE ONLY \$ 300.00 X Examination fee 200.00 \$ 23. X Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Search Report prepared and provided to the Office \$400 \$ 400.00 All other situations. TOTAL OF 21, 22 and 23 = \$ 900.00 Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. **Total Sheets** Extra sheets Number of each additional 50 or fraction **RATE** thereof (round up to a whole number) 32 - 100 =0/50 =0.00 x \$250.00 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)). \$ CLAIMS **NUMBER FILED NUMBER EXTRA** RATE **Total Claims** 10-20 =0 x \$ 50 \$ 0.00 Independent Claims 3-3= x \$200 \$ 0.00 MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$ + \$360 \$ 900.00 TOTAL OF ABOVE CALCULATIONS = Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. \$ SUBTOTAL = \$ 900.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). \$ TOTAL NATIONAL FEE = \$ Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by \$ 40.00 an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = \$ 940.00 Amount to be \$ refunded: Amount to be \$ charged: X A check in the amount of \$ 900.00 AND \$40.00 to cover the above fees is enclosed. Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is b. enclosed. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit c. Account No. <u>06-1205</u>. A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Fitzpatrick, Cella, Harper & Scinto 30 Rockefeller Plaza PETER SAXON New York, NY 10112-3800 NAME **Customer Number 05514** 24,947 REGISTRATION NUMBER